### Express Mail No. EV343589446US

Attorney Docket No. 18298735US

Disclosure No. 03-0577

Please type a plus sign (+) inside this box

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 108298735US

First Inventor Mung Suan Heng

STACKED MICROFEATURE DEVICES AND ASSOCIATED METHODS

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))	xpress Mail Label No. EV343589446US						
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO:  Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)  Applicant claims small entity status. See 37 CFR 1.27.  Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS						
Background of the Invention  Brief Summary of the Invention  Brief Description of the Drawings ( if filed)  Detailed Description  Claim(s)  Abstract of the Disclosure	9. Assignment Papers (cover sheet & document(s))  10. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney  11. English Translation Document (if applicable)						
4. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets 4 ]  5. Oath or Declaration [Total Pages 6 ]  a. ☐ Newly executed (original or copy)  b. ☐ Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed)  i. ☐ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. ☐ Application Data Sheet. See 37 CFR 1.76	12. ☐ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations  13. ☐ Preliminary Amendment  14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  17. ☒ Other: 1) General Authorization for Extensions of Time: 2) Check						
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:    Continuation   Divisional   Continuation-in-part (CIP) of prior application No: /							
17. CORRESPON	IDENCE ADDRESS						
☑ Customer Number or Bar Code Label 25096  PATENT TRADEMARK OFFICE  or ☐ Correspondence address below							
Name (Print/Type) Paul T. Parker	Registration No. (Attorney/Agent) 38,264						
Signature DIDI	Date August 29, 2003						

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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# FEE TRANSMITTAL Application Number Filing Date First Named Inventor Mung Suan Heng Examiner Name Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$)1366 Application Number Filing Date August 29, 2003 First Named Inventor Mung Suan Heng Examiner Name Art Unit Art Unit 108298735US

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. A	DDITIO	DNAL	FEES				
Order	Large Entity   Small Entity							
Deposit Account:	Fee	Fee	Fee		Fee Description Fee Paid			
Deposit Account Number 50-0665	Code	(\$)	Code	(\$)	·			
Deposit Account Name	1051	130	2051	65	Surcharge – late filing fee or oath			
The Commissioner is authorized to: (check all that apply)	1052	50	2052	25	Surcharge – late provisional filing fee or			
Charge fee(s) indicated below Credit any overpayments	cover sheet							
Charge any underpayments during the pendency of this application	1053 130 1053 130 Non-English Specification				For filing a request for ex parte			
Charge fee(s) indicated below, except for the filing fee	1812 2,520 1812 2,520 For filing a request for ex parte reexamination							
to the above-identified deposit account.	1804 920* 1804 920* Requesting publication of SIR prior to							
FEE CALCULATION					Examiner action			
1. BASIC FILING FEE	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
Large Entity Small Entity	1251	110	2251	55	Extension for reply within first month			
<u>Pee Fee Fee Fee Fee Description</u> Fee Paid	1252	410	2252	205	Extension for reply within second month			
Code (\$)   Code (\$)   1001 750   2001 375   Utility filing fee	1253	930	2253	465	Extension for reply within third month			
, , <u> </u>	1254	1,450	2254	725	Extension for reply within fourth month			
1	1255	1,970	2255	985	Extension for reply within fifth month			
1003 520 2003 260 Plant filing fee	1401	320	2401	160	Notice of Appeal			
1004 750 2004 375 Reissue filing fee	1402	320	2402	160	Filing a brief in support of an appeal			
1205 160 2005 80 Provisional filing fee	1403	280	2403	140	Request for oral hearing			
SUBTOTAL (1) (\$) 750	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1452	110	2452	55	Petition to revive - unavoidable			
Fee from	1453	1,300	2453	650	Petition to revive - unintentional			
Extra Claims below Fee Paid	1501	1,300	2501	650	Utility issue fee (or reissue)			
Total Claims 38 -20** = 18 X 18 = 324 Independent Claims 6 - 3** = 3 X 84 = 252	1502	470	2502	235	Design issue fee			
Independent Claims 6 - 3** = 3 X 84 = 252  Multiple Dependent	1503	630	2503	315	Plant issue fee			
Multiple Dependent	1460 1807	130 50	1460	130 50	Petitions to the Commissioner Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure			
Fee Fee Fee <u>Fee Description</u>	1,000	100	1 1000	100	Stmt			
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per			
1202 18 2202 9 Claims in excess of 20			1		property (times number of properties) 40			
1201	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))			
1203 260 2203 140 Multiple dependent claims, if not paid 1204 84 2204 42 ** Reissue independent claims over	1810	750	2810	375	For each additional invention to be			
original patent	""	, 50	20.00	3,3	examined (37 CFR 1.129(b))			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1801	750	2801	375	Request for Continued Examination (RCE)			
SUBTOTAL (2) (\$) 576	1802	02 900 1802 900 Request for expedited examination of a design application						
	Other fee (specify)							
	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40							
**or number previously paid, if greater; For Reissues, see above								

SUBMITTED BY				(Complete (if applicable)		
Name (Print/Type)	Paul T. Parker	Registration No. (Attorney/Agent)	38 264		Telephone (206) 359-3258	
Signature	RIRL			Date	August 29, 2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Attorney Docket No. 108298735US Disclosure No. 03-0577

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: MUNG SUAN HENG ET AL.

FILED: CONCURRENTLY HEREWITH

STACKED MICROFEATURE DEVICES

AND ASSOCIATED METHODS

### Authorization for Extensions of Time Under 37 C.F.R. § 1.136(a)(3)

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For:

With respect to the above-identified application, the Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0665.

Respectfully submitted, Perkins Coie LLP

Date: August 29, 2001

Paul T. Parker

Registration No. 38,264

Correspondence Address:

Customer No. 25096 Perkins Coie LLP P.O. Box 1247 Seattle, Washington 98111-1247 (206) 359-8000